



DAC  
(S) \$ #32

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Group Art Unit: 2737  
Examiner: FAILE, A.  
Attorney Docket: 05634.0008

Serial No.: 08/113,329  
Filed: August 30, 1993

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

**RECEIVED**

**JAN 4 2001**

**OFFICE OF PETITIONS**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/113,329 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for the above referenced patent fees for the above referenced application and that the claim for small entity status for the above referenced application Serial No. 08/113,329 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3028 for the above referenced fees of **\$1,952.00** to convert the above-referenced application to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

01/05/2001 CNGUYEN 00000022 08113329

01 FC:101 710.00 OP  
02 FC:699 1242.00 OP

Adjustment Date: 01/10/2001 LGIBBS Nm/FC: 08113329 -304.00 OP  
01/05/1995 0908A 0000009502 FC:282 -275.00 OP  
Adjustment Date: 01/10/2001 LGIBBS Nm/FC: 08113329 -275.00 OP  
01/05/1995 0908A 0000009502 FC:282 -1242.00 OP  
Adjustment Date: 01/10/2001 LGIBBS Nm/FC: 08113329 -1242.00 OP  
01/05/1995 0908A 0000009502 FC:204 -640.00 OP  
Adjustment Date: 01/10/2001 LGIBBS Nm/FC: 08113329 -450.00 OP  
01/05/2001 CNGUYEN 00000022 08113329 -270.00 OP  
02 FC:699 -581.00 OP  
01/10/2001 LGIBBS Nm/FC: 08113329 640.00 OP  
01 FC:102 450.00 OP  
02 FC:103 270.00 OP  
03 FC:104 581.00 OP  
04 FC:197

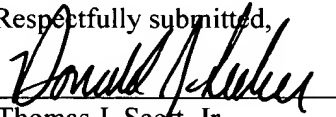
submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

Description of Submission to PTO	Amount Deficient
New application filing:	\$355.00 ✓
Preliminary amendments:	\$898.00
Response to first action new claim fees:	\$699.00 ✓
Response to first action extension of time:	
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$1,952.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,  
  
Thomas J. Scott, Jr.  
Reg. No. 27,836  
Donald J. Lecher  
Reg. No. 41,933  
Attorneys for Applicants  
Tel: (202) 955-1938